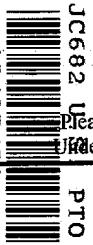


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01-09-02 A

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002 OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 13414/311

First Inventor Paul Eusterbrock, et al.

Title A HEAT-CONTROLLED, MULTI-BULB, LAMPSHADE

Express Mail Label No. EM388586569US

Assistant Commissioner for Patents

Box Patent Application

Washington DC 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \*Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status. (See 37 CFR 1.27)
3.  Specification Total Pages (13)  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) Total Sheets (4)
5.  Oath or Declaration
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a.  Computer Readable Copy
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  Paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. § 3.73(b) Statement  Power of (when there is an assignee)  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure  Copies of IDS Statement (IDS)/PTO-1449 Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Other: \_\_\_\_\_

17. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheets under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application no.: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer No. or Bar Code Label				or <input type="checkbox"/> Correspondence address below  (insert Customer No. or Attach bar code label here)	
Address		Oppenheimer Wolff & Donnelly LLP 45 South Seventh Street, Suite 3300			
City	Minneapolis		State	Minnesota	Zip Code
Country	US		Telephone	612.607.7508	Fax
Name (Print/Type)	Steven C. Lieske		Registration No. (Attorney/Agent)	47,749	
Signature			Date	October 25, 2001	

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 370.00)

## Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	Paul Eusterbrock, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	13414/311

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1901  
 Deposit Account Name Oppenheimer Wolff & Donnelly LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)

Fee Code (\$)	Fee Code (\$)
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101 740	201 370	Utility filing fee
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106 330	206 165	Design filing fee
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107 510	207 255	Plant filing fee
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108 740	208 370	Reissue filing fee
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114 160	214 80	Provisional filing fee
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## Fee Paid

370.00

SUBTOTAL (1) (\$ 370.00)

## 2. EXTRA CLAIM FEES

Total Claims	6	Extra Claims	Fee from below	Fee Paid
Independent Claims	1	-20** =	0	= 0
Multiple Dependent		- 3** =	0	= 0

Large Entity	Small Entity
Fee Code (\$)	Fee Code (\$)

Fee Code (\$)	Fee Description
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103 18	203 9	Claims in excess of 20
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102 84	202 42	Independent claims in excess of 3
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104 280	204 140	Multiple dependent claim, if not paid
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109 84	209 42	** Reissue independent claims over original patent
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110 18	210 9	** Reissue claims in excess of 20 and over original patent
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SUBTOTAL (2) (\$ 0.00)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Steven C. Lieske	Registration No. (Attorney/Agent)	47,749	Telephone 612-607-7508
Signature	<i>Steven C. Lieske</i>	Date	10-25-2001	

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

UTILITY PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Paul Esuterbrock, et al

Filed : Herewith

Title : A HEAT-CONTROLLED, MULTI-BULB  
LAMP SHADE

Docket No : 13414/311

CERTIFICATE OF EXPRESS MAIL

EXPRESS MAIL Mailing Label Number: EM388586569US

Date of Deposit: October 25, 2001

I hereby certify that these papers and fee(s) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231.

Paper(s) Enclosed:

- Transmittal Letter AND Fee Transmittal
- Specification 7 page(s)
- Claims 1 page(s)
- Abstract 1 page(s)
- Drawings 4 page(s)
- Declaration & Power of Attorney 2 page(s)
- Express Mail Certificate No. EM388586569US
- Postcard

By: Philip W. Wheeler

Philip W. Wheeler, Secretary to Steven C. Lieske  
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